

NOTICE

NOTICE is hereby given that the Thirtieth Annual General Meeting of the members of Kotak Alternate Asset Managers Limited (formerly known as Kotak Investment Advisors Limited) will be held on Friday, June 28, 2024 at 5:00 PM through Video conferencing to transact following business:

ORDINARY BUSINESS:

1. Adoption of Audited Financial statements of the Company:

- a) To receive and adopt the Standalone and consolidated Profit and Loss Account for the year ended March 31, 2024, the Balance Sheets as at that date and the Reports of the Directors and Auditors thereon.

2. To appoint Director in place of Mr. Dipak Gupta (DIN: 00004771), who retires by rotation and, being eligible, offers himself for re-appointment.

Mr. Dipak Gupta, Director who retires by rotation has offered himself for re-appointment. Members are requested to consider the proposal and if thought fit approve the same by passing the following resolution:

To consider and, if thought fit, to pass, the following resolution as an ordinary resolution:

"RESOLVED that pursuant to Section 152 and other applicable provisions, if any, of the Companies Act, 2013, Mr. Dipak Gupta (DIN: 00004771), who retires by rotation and being eligible offers himself for re-appointment be and is hereby re-appointed as Director of the Company."

3. To appoint Director in place of Mr. Jaimin Bhatt (DIN: 00003657), who retires by rotation and, being eligible, offers herself for re-appointment.

To consider and, if thought fit, to pass, the following resolution as an ordinary resolution:

"RESOLVED that pursuant to Section 152 and other applicable provisions, if any, of the Companies Act, 2013, Mr. Jaimin Bhatt (DIN: 00003657), who retires by rotation and being eligible offers himself for re-appointment be and is hereby re-appointed as Director of the Company."

Kotak Alternate Asset Managers Limited
(formerly known as Kotak Investment Advisors Limited)

Corporate Identity Number: U65990MH1994PLC077472

Registered Office:

27 BKC, 7th Floor, Plot No. C-27

"G"Block, Bandra - Kurla Complex

Bandra (East), Mumbai - 400051

India.

T +91 22 43360000

F +91 22 67132423

www.alternateassets.kotak.com

4. To appoint and fix the remuneration of Statutory auditors:

To consider and if thought fit, to pass, with or without modification(s), the following resolution as an Ordinary Resolution:

"RESOLVED that pursuant to the provisions of Sections 139 to 142 and other applicable provisions, if any, of the Companies Act, 2013 (the 'Act') read with the Companies (Audit and Auditors) Rules, 2014, as may be applicable, (including any statutory amendment(s), modification(s), variation or re-enactment(s) thereof for the time being in force) from time to time, approval of the Members of the Company, be and is hereby accorded for the appointment of Deloitte Haskins & Sells, Chartered Accountants (Firm Registration Number 117365W), as the Statutory Auditors of the Company, in place of retiring Auditors, Price Waterhouse, Chartered Accountants, Mumbai (Regn. No.: 301112E) to hold office from the conclusion of the Thirtieth Annual General Meeting until the conclusion of the Thirty Fifth Annual General Meeting of the Bank to be held in the year 2029.

RESOLVED FURTHER that Mr. Jaimin Bhatt and Mr. Rajeev Saptarshi be and are hereby jointly authorised to negotiate and approve annual remuneration including outlays and taxes as applicable from time to time, for the purpose of audit of the Company's accounts, with the power to the Board/ Audit Committee to alter and vary the terms and conditions of appointment, revision including upward revision in the remuneration during the remaining tenure of four years, etc., including by reason of necessity on account of conditions as may be stipulated by any other authority, in such manner and to such extent as may be mutually agreed with the Statutory Auditors."

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SPECIAL BUSINESS:

5. To consider and if thought fit, to pass, with or without modification(s), the following resolution as an Ordinary Resolution:

To appoint Mr. Jaideep Hansraj as Director of the Company.

"RESOLVED that pursuant to the provisions of Section 161 and any other applicable provisions of the Companies Act, 2013 ("Act") and the Rules made there under (including any statutory modification(s) or re-enactments thereof for the time being in force), Mr. Jaideep Hansraj (DIN: 02234625) who was appointed as an Additional Director of the Company by the Board of Directors at its meeting held on May 10, 2024 and whose term of office expires at this Annual General Meeting ('AGM') and in respect of whom the Company has received a notice in writing from a member under Section 160 of the Companies Act, 2013 proposing his candidature for the office of Director, be and is hereby appointed as a Director of the Company, liable to retire by rotation."

By Order of the Board of Directors
KOTAK ALTERNATE ASSET MANAGERS LIMITED
(formerly known as Kotak Investment Advisors Limited)
(Corporate Identity Number: U65990MH1994PLC077472)

Mumbai, May 10, 2024

Registered Office:

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Complex Bandra (East), Mumbai
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Devang Salian
Company Secretary
ACS 65902

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NOTES:

1. **THE ANNUAL GENERAL MEETING ("AGM") OF THE MEMBERS OF THE COMPANY SHALL BE CONVENED THROUGH VIDEO CONFERENCING MODE UNDER THE GUIDELINES ISSUED BY THE MINISTRY OF CORPORATE AFFAIRS THROUGH GENERAL CIRCULAR NO. 14/2020 DATED 8TH APRIL, 2020, NO. 17/2020 DATED 13TH APRIL, 2020 AND NO. 10/2022 DATED 28TH DECEMBER, 2022**
2. The Members are requested to follow the below instructions: -

PARTICIPATION:

- I. Pursuant to the aforementioned general circular, the physical presence of the Members has been dispensed with and therefore the appointment of Proxy (ies) is not permitted. However, in pursuance of section 112 and 113 of the Companies Act, 2013, representatives of the Members may be appointed for the purpose of voting through remote e-voting or for participation and voting in the meeting.
- II. Members may join the Annual General Meeting through Video Conferencing ("VC") Facility which shall be kept open for the Members from 04:45 PM IST i.e. 15 minutes before the time scheduled to start the AGM and will be closed for joining the VC Facility 15 minutes after the scheduled time to start the AGM.
- III. The Members may, before the meeting, submit their questions / queries (if any) through e-mail to the Company Secretary.
- IV. On the date of the meeting, the Members, Directors, Key Managerial Personnel and all other persons authorized to attend the meeting, may join the meeting using Microsoft Teams Dial-in details as follows:

Microsoft Teams - Meeting link

Meeting ID: 474 553 621 162

Passcode: 67LDGV

- V. In case any member requires assistance for using the aforementioned Dial-in before or during the meeting, you may contact the Company Secretary on +91- 8976971104 or devang.salian@kotak.com
- VI. In order to ensure the smooth participation, the Members, Directors, Key Managerial Personnel and all other persons authorized to attend the meeting are requested to

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ensure that the device used for attending the meeting through video conferencing has strong internet signal/ network.

VOTING:

- I. The voting at the Annual General Meeting shall be done by show of hands. In case a poll is demanded, the Chairman shall follow the procedure provided in Section 109 of the Companies Act, 2013 and rules made thereunder.
- II. On demand of poll, the Members may vote by sending an e-mail to the Company Secretary at devang.salian@kotak.com stating their assent/ dissent. For convenience during voting, the Members are requested to use the following box and state the symbol or mention the no. of shares held by them in assent/ dissent box.

Example: Using Symbol ('√')

Item no. of agenda	Assent	Dissent

OTHER INSTRUCTIONS/ INFORMATION:

- I. Members are requested to address all communications through their registered e- mail id only.
- II. Attendance of the Members participating in the AGM through Video Conferencing Facility shall be counted for the purpose of reckoning the quorum under Section 103 of the Act.
- III. The recorded transcript shall be available on the website of the Company www.alternateassets.kotak.com post the conclusion of the meeting.
- IV. This notice is also available on the website of the Company.
- V. In case of any doubts or clarification, the members are requested to contact the Company Secretary.
- VI. The documents related to matters set out in the notice including the Register of Directors and Key Managerial Personnel and their shareholding maintained under Section 170 of the Act, the Register of Contracts or Arrangements in which the directors are interested, maintained under Section 189 of the Act can be requested via email by writing to the Company Secretary at devang.salian@kotak.com on all working days up to and including the date of the meeting.

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