kotak Alternate Asset Managers

Data for the month ending – October 2026

Sr. No	Received from	Pending at the end of last month	Received	Resolved *	Total Pending#	Pending complaints >3months	Average Resolution time^ (in days)
1	Directly from Investors	1	0	1	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	NA
3	Other Sources (if any)	0	0	0	0	0	NA
	Grand Total	**1**	**0**	**1**	**0**	**0**	**NA**

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for Current FY

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr, 2026 ##	0	1	0	0
2	May,2026	1	0	1	0
3	Jun, 2026	0	0	0	0
4	Jul, 2026	0	0	0	0
5	Aug, 2026	0	1	0	1
6	Sep, 2026	1	0	0	1
7	Oct, 2026	1	0	1	0
8	Nov, 2026	-	-	-	-
9	Dec, 2026	-	-	-	-
10	Jan, 2027	-	-	-	-
11	Feb, 2027	-	-	-	-
12	Mar, 2027	-	-	-	-
	Grand Total	**2**	**2**	**2**	**0**

Please note that the complaint received on SCORES portal for April 2026 does not pertain to Investment Advisory division of the Company.

***Inclusive of complaints of previous months resolved in the current month. # Inclusive of complaints pending as on the last day of the month.**

Trend of annual disposal of complaints for Previous FYs

Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending #
1	2019-20	NA	NA	NA	NA
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25**	0	10	10	0
7	2025-26	0	0	0	0
	Grand Total	**0**	**10**	**10**	**0**

***Inclusive of complaints of previous years resolved in the current year. # Inclusive of complaints pending as on the last day of the year.**

****Please note that the complaints received on SCORES portal for FY 2024-25 did not pertain to Investment Advisory division of the Company.**